



COPY OF PAPERS  
ORIGINALLY FILED

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Patent Application of:

Inventor(s): **Hawley, K. Rising III, et al.**

Filed: **8/10/01**

Serial No.: **09/927,421**

Art Unit: **2152**

Examiner: **Not yet available**

Docket Number: **020699-002300US**

Title: **Use of Unique ID's in a Distributed Environment to Make Changes to an MPEG-7 Description at the Run Time**

Assistant Commissioner for Patents

Box Missing Parts

Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Application Filing Date Granted dated **09/17/2001** (copy enclosed), enclosed herewith is an executed Declaration and Power of Attorney along with an executed Recordation Cover Sheet along with the associated executed Assignment.

The fee for this communication is computed below:

Missing parts fee: **\$130.00**

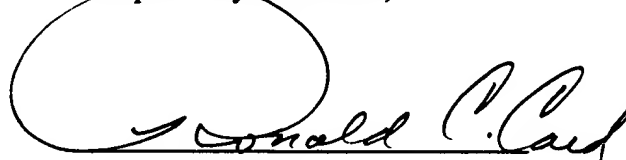
Also enclosed are:

- Required Assignment fee (37 CFR 3.41): **\$40**
- Required statutory filing fee in the amount of **\$710**
- Total additional claim fee(s) for this application for:
  - **\$18 for 1 total claims over 20**
  - **\$160 for 2 independent claims over 3**

**Total balance due \$1058.**

The Commissioner is hereby authorized to deduct the above total from deposit account number 19-3195. A duplicate copy of this authorization is enclosed. In the event the above computation is incorrect, this Petition should be interpreted as a petition for extension of time for the appropriate month. The Commissioner is authorized to deduct any deficiency or credit any overpayment to deposit account number 19-3195.

Respectfully submitted,



**Ronald C. Card**  
**Registration No. 44,587**  
**Dated: November 19, 2001**

Please send correspondence to:  
Intellectual Property Department  
Sony Electronics Inc.  
123 Tice Boulevard - MD T1-1  
Woodcliff Lake, NJ 07677-8402  
Tel.: 201-930-7951  
Fax: 201-930-6854

<p align="center"><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this document and any document referenced herein is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: <u>November 19, 2001</u></p> <p><u>David Castro</u> (Applicant, Assignee or Reg. Representative)</p> <p><u>[Signature]</u> (Signature)</p>
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,421	08/10/2001	Hawley K. Rising III	020699-002300US

CONFIRMATION NO. 6396

## FORMALITIES LETTER



\*OC000000006560813\*

20380  
JOHN W. WISENSEN AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$178.
  - \$18 for 1 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1018.

*A copy of this notice **MUST** be returned with the reply.*

MTB  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/23/2002 VTRUONG1 00000122 193195 09927421

01 FC:101	740.00 CH
02 FC:102	168.00 CH
03 FC:103	18.00 CH
04 FC:105	130.00 CH

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Docket No. 020699-002300US

**PATENT RECORDATION COVER SHEET**

To the Assistant Commissioner for Patents. Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

**Hawley K. Rising, Ali Tabatabai**

Additional names attached? ☒ NO ☐ YES

2. Name and address of receiving party(ies):

**Sony Corporation  
7-35 Kitashinagawa 6-Chrome  
Shinagawa-Ku, Tokyo, Japan**

**and**

**Sony Electronics Inc.  
One Sony Drive  
Park Ridge, NJ 07656**

Additional names and addresses attached? ☒ NO ☐ YES

3. Nature of Conveyance:

☒ Assignment ☐ Security Agreement ☐ Merger

☐ Change of Name ☐ Other \_\_\_\_\_

Execution Date: November 16, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent application numbers:

**09/927,421**

B. Patent numbers:

Additional numbers attached? ☒ NO ☐ YES

**DUPLICATE**

5. Name and address of party to whom correspondence concerning document should be mailed:

**Intellectual Property Dept.  
Sony Electronics Inc.  
123 Tice Boulevard, MD T1-1  
Woodcliff Lake, NJ 07677-8402  
(201) 930-7951 FAX # (201) 930-6854**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to charge to deposit account.

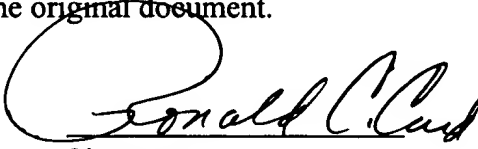
☒ Charge any additional fees required to deposit account.

8. Deposit Account Number: 19-3195

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald C. Card  
Name of Person Signing

  
Signature

11/19/01  
Date

Total number of pages including cover sheet: 4